IEC 62040-1

Edition 2.0 2017-09

REDLINE VERSION

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This Extended version of IEC 62040-1:2017 includes the provisions of the general rules of IEC 62477-1:2012

Uninterruptible power systems (UPS) – Part 1: Safety requirements

INTERNATIONAL ELECTROTECHNICAL COMMISSION

ICS 29.200

ISBN 978-2-8322-4886-7

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

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UNINTERRUPTIBLE POWER SYSTEMS (UPS) –

Part 1: Safety requirements

FOREWORD

1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
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DISCLAIMER
This Extended version is intended only to provide the user with a comprehensive content consisting of a product standard and its reference document. An Extended version is not an official IEC Standard. Only the current versions of the related standards are to be considered the official documents.

This Extended version of IEC 62040-1:2017 includes the provisions of the general rules dealt with in IEC 62477-1:2012. Clauses and subclauses of IEC 62477-1:2012 that are applicable in IEC 62040-1:2017 have been introduced in the content in red text.

International Standard IEC 62040-1 has been prepared by subcommittee 22H: Uninterruptible power systems (UPS), of IEC technical committee 22: Power electronic systems and equipment.

This second edition cancels and replaces the first edition published in 2008 and its Amendment 1:2013. This edition constitutes a technical revision.

This edition includes the following significant technical change with respect to the previous edition: the reference document has been changed from IEC 60950-1:2005 (safety for IT equipment) to IEC 62477-1 (group safety standard for power electronic converters).

The text of this International Standard is based on the following documents:

FDIS	Report on voting
22H/217/FDIS	22H/218/RVD

Full information on the voting for the approval of this International Standard can be found in the report on voting indicated in the above table.

This document has been drafted in accordance with the ISO/IEC Directives, Part 2.

~~This International Standard is to be read in conjunction with IEC 62477-1:2012.~~

~~The provisions of the general rules dealt within IEC 62477-1:2012 are only applicable to this document insofar as they are specifically cited. Clauses and subclauses of IEC 62477-1:2012 that are applicable in this document are identified by reference to IEC 62477-1:2012, for example, "Clause 4 of IEC 62477-1:2012 applies, except as follows".~~

~~The exceptions are then listed. The exceptions can take the form of a deletion, a replacement or an addition of subclauses, tables, figures or annexes.~~

~~Subclauses, tables and figures that are additional to those in IEC 62477-1:2012 are, in this document, identified by a suffix in the format of X.10x, for example 4.3.101.~~

~~Annexes that are additional to those in IEC 62477-1:2012 are, in this document, lettered AA, BB, etc.~~

In this document, the following print types are used:

- requirements and normative annexes: roman type
- compliance statements and test specifications: *italic type*
- notes and other informative matter: smaller roman type
- normative conditions within tables: smaller roman type
- terms that are defined in Clause 3: **bold**

A list of all parts in the IEC 62040 series, published under the general title *Uninterruptible Power Systems (UPS)*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under "http://webstore.iec.ch" in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

The contents of the corrigendum of October 2019 have been included in this copy.

INTRODUCTION

IEC technical sub-committee 22H: Uninterruptible power systems (UPS) carefully considered the relevance of each paragraph of IEC 62477-1:2012 in UPS applications. This part of IEC 62040 utilizes IEC 62477-1:2012 as a reference document and references, adds, replaces or modifies requirements as relevant. This is because product-specific topics not covered by the reference document are the responsibility of the technical committee using the reference document.

IEC 62477-1:2012 relates to products that include power electronic converters, with a rated system voltage not exceeding 1 000 V AC or 1 500 V DC. It specifies requirements to reduce risks of fire, electric shock, thermal, energy and mechanical hazards, except functional safety as defined in IEC 61508 (all parts). The objectives of this document are to establish a common terminology and basis for the safety requirements of products that contain power electronic converters across several IEC technical committees.

IEC 62477-1:2012 was developed with the intention:

- to be used as a reference document for product committees inside IEC technical committee 22: Power electronic systems and equipment in the development of product standards for power electronic converter systems and equipment;
- to replace IEC 62103 as a product family standard providing minimum requirements for safety aspects of power electronic converter systems and equipment in apparatus for which no product standard exists; and

  NOTE The scope of IEC 62103 contains reliability aspects, which are not covered by this document.

- to be used as a reference document for product committees outside TC 22 in the development of product standards of power electronic converter systems and equipment intended for renewable energy sources. TC 82, TC 88, TC 105 and TC 114, in particular, have been identified as relevant technical committees at the time of publication.

The reference document, being a group safety standard, will not take precedence over this product-specific standard according to IEC Guide 104. IEC Guide 104 provides information about the responsibility of product committees to use group safety standards for the development of their own product standards.

UNINTERRUPTIBLE POWER SYSTEMS (UPS) –

Part 1: Safety requirements

1 Scope

This part of IEC 62040 applies to movable, stationary, fixed or built-in **UPS** for use in low-voltage distribution systems and that are intended to be installed in an area accessible by an **ordinary person** or in a **restricted access area** as applicable, that deliver fixed frequency AC output voltage with **port** voltages not exceeding 1 000 V AC or 1 500 V DC and that include an energy storage device. It applies to pluggable and to **permanently connected UPS**, whether consisting of a system of interconnected units or of independent units, subject to installing, operating and maintaining the **UPS** in the manner prescribed by the manufacturer.

NOTE 1 Typical **UPS** configurations, including voltage and/or frequency converters and other topologies, are described in IEC 62040-3, the test and performance product standard for **UPS**.

NOTE 2 **UPS** generally connect to their energy storage device through a DC link. A chemical battery is used throughout the standard as an example of an energy storage device. Alternative devices exist, and as such, where "battery" appears in the text of this document, this is to be understood as "energy storage device".

This document specifies requirements to ensure safety for the **ordinary person** who comes into contact with the **UPS** and, where specifically stated, for the **skilled person**. The objective is to reduce risks of fire, electric shock, thermal, energy and mechanical hazards during use and operation and, where specifically stated, during service and maintenance.

This product standard is harmonized with the applicable parts of group safety publication IEC 62477-1:2012 for **power electronic converter systems** and contains additional requirements relevant to **UPS**.

This document does not cover:

- **UPS** that have a DC output;
- systems for operation on moving platforms including, but not limited to, aircrafts, ships and motor vehicles;
- external AC or DC input and output distribution boards covered by their specific product standard;
- stand-alone static transfer systems (STS) covered by IEC 62310-1;
- systems wherein the output voltage is directly derived from a rotating machine;
- telecommunications apparatus other than **UPS** for such apparatus;
- functional safety aspects covered by IEC 61508 (all parts).

NOTE 3 Even if this document does not cover the applications listed above, it is commonly taken as a guide for such applications.

NOTE 4 Specialized **UPS** applications are generally governed by additional requirements covered elsewhere, for example **UPS** for medical applications.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60364-4-42, *Low-voltage electrical installations – Part 4-42: Protection for safety – Protection against thermal effects*

IEC 60384-14, *Fixed capacitors for use in electronic equipment – Part 14: Sectional specification – Fixed capacitors for electromagnetic interference suppression and connection to the supply mains*

IEC TR 60755, *General requirements for residual current operated protective devices*

IEC 60947-2:2006*, Low-voltage switchgear and controlgear – Part 2: Circuit-breakers*[1]

IEC 60950-1:2005, *Information technology equipment – Safety – Part 1: General requirements*

IEC 61000-2-2:2002, *Electromagnetic compatibility (EMC) – Part 2-2: Environment – Compatibility levels for low-frequency conducted disturbances and signaling in public low-voltage power supply systems*

IEC 61008-1, *Residual current operated circuit-breakers without integral overcurrent protection for household and similar uses (RCCBs) – Part 1: General rules*

IEC 61009-1, *Residual current operated circuit-breakers with integral overcurrent protection for household and similar uses (RCBOs) – Part 1: General rules*

IEC 62040-2:2005, *Uninterruptible power systems (UPS) – Part 2: Electromagnetic compatibility (EMC) requirements*[2]

IEC 62477-1:2012, *Safety requirements for power electronic converter systems and equipment – Part 1: General*

IEC 60050 (all parts), *International Electrotechnical Vocabulary* (available at <http://www.electropedia.org>)

IEC 60060-1:2010, *High-voltage test techniques – Part 1: General definitions and test requirements*

IEC 60068-2-2, *Environmental testing – Part 2-2: Tests – Test B: Dry heat*

IEC 60068-2-6, *Environmental testing – Part 2-6: Tests – Test Fc: Vibration (sinusoidal)*

IEC 60068-2-52, *Environmental testing – Part 2-52: Tests – Test Kb: Salt mist, cyclic (sodium chloride solution)*

IEC 60068-2-68, *Environmental testing – Part 2-68: Tests – Test L: Dust and sand*

IEC 60068-2-78:2001, *Environmental testing – Part 2-78: Tests – Test Cab: Damp heat, steady state*

IEC 60112:2003, *Method for the determination of the proof and the comparative tracking indices of solid insulating materials*

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1 4th edition (2006). This 4th edition has been replaced in 2016 by a 5th edition IEC 60947-2:2016, *Low-voltage switchgear and controlgear – Part 2: Circuit-breakers*.

2 2nd edition (2005). This 2 nd edition has been replaced in 2016 by a 3rd edition IEC 62040-2:2016, *Uninterruptible power systems (UPS) – Part 2: Electromagnetic compatibility (EMC) requirements*.

IEC 60216-4-1, *Electrical insulating materials – Thermal endurance properties – Part 4-1: Ageing ovens – Single-chamber ovens*

IEC 60364-1, *Low-voltage electrical installations – Part 1: Fundamental principles, assessment of general characteristics, definitions*

IEC 60364-4-41:2005, *Low-voltage electrical installations – Part 4-41: Protection for safety – Protection against electric shock*

IEC 60364-4-44:2007, *Low-voltage electrical installations – Part 4-44: Protection for safety – Protection against voltage disturbances and electromagnetic disturbances*

IEC 60364-5-54:2011, *Low voltage electrical installations – Part 5-54: Selection and erection of electrical equipment – Earthing arrangements and protective conductors*

IEC 60417, *Graphical symbols for use on equipment* (available at <http://www.graphical-symbols.info/equipment>)

IEC/TS 60479-1, *Effects of current on human beings and livestock – Part 1: General aspects*

IEC 60529:1989, *Degrees of protection provided by enclosures (IP code)*

IEC 60617, *Graphical symbols for diagrams* (available from <http://std.iec.ch/iec60617>)

IEC 60664-1:2007, *Insulation coordination for equipment within low-voltage systems – Part 1: Principles, requirements and tests*

IEC 60664-3:2003, *Insulation coordination for equipment within low-voltage systems – Part 3: Use of coating, potting or moulding for protection against pollution*

IEC 60664-4:2005, *Insulation coordination for equipment within low-voltage systems – Part 4: Consideration of high-frequency voltage stress*

IEC 60695-2-11:2000, *Fire hazard testing – Part 2-11: Glowing/hot-wire based test methods – Glow-wire flammability test method for end-products*

IEC 60695-10-2, *Fire hazard testing – Part 10-2: Abnormal heat - Ball pressure test*

IEC 60695-11-10, *Fire hazard testing – Part 11-10: Test flames – 50 W horizontal and vertical flame test methods*

IEC 60721-3-3, *Classification of environmental conditions – Part 3: Classification of groups of environmental parameters and their severities – Section 3: Stationary use at weatherprotected locations*

IEC 60721-3-4, *Classification of environmental conditions – Part 3: Classification of groups of environmental parameters and their severities – Section 4: Stationary use at non-weatherprotected locations*

IEC 60730-1, *Automatic electrical controls for household and similar use – Part 1: General requirements*

IEC/TR 60755, *General requirements for residual current operated protective devices*

IEC 60949, *Calculation of thermally permissible short-circuit currents, taking into account non-adiabatic heating effects*

IEC 60695-2-10, *Fire hazard testing – Part 2-10: Glowing/hot-wire based test methods – Glow-wire apparatus and common test procedure*

IEC 60695-2-13, *Fire hazard testing – Part 2-13: Glowing/hot-wire based test methods – Glow-wire ignition temperature (GWIT) test method for materials*

IEC 60695-11-10, *Fire hazard testing – Part 11-10: Test flames – 50 W horizontal and vertical flame test methods*

IEC 60695-11-20, *Fire hazard testing – Part 11-20: Test flames – 500 W flame test methods*

IEC 60990:1999, *Methods of measurement of touch current and protective conductor current*

IEC 61032:1997, *Protection of persons and equipment by enclosures – Probes for verification*

IEC 61180-1:1992, *High-voltage test techniques for low-voltage equipment – Part 1: Definitions, test and procedure requirements*

IEC Guide 104:2010, *The preparation of safety publications and the use of basic safety publications and group safety publications*

IEC Guide 117:2010, *Electrotechnical equipment – Temperatures of touchable hot surfaces*

ISO 3864-1, *Graphical symbols – Safety colours and safety signs – Part 1: Design principles for safety signs in workplaces and public areas*

ISO 3746, *Acoustics – Determination of sound power levels and sound energy levels of noise sources using sound pressure – Survey method using an enveloping measurement surface over a reflecting plane*

ISO 7000, *Graphical symbols for use on equipment – Index and synopsis* (available from <http://www.graphical-symbols.info/equipment>)

ISO 7010, *Graphical symbols – Safety colours and safety signs – Registered safety signs*

ISO 9614-1, *Acoustics – Determination of sound power levels of noise sources using sound intensity – Part 1: Measurement at discrete points*

ISO 9772, *Cellular plastics – Determination of horizontal burning characteristics of small specimens subjected to a small flame*

ANSI/ASTM E84 – 11b, *Standard test method for surface burning characteristics of building materials*

ASTM E162 – 11a, *Standard test method for surface flammability of materials using a radiant heat energy source*