IEC 62243

Edition 2.0 2012-06

INTERNATIONAL STANDARD

IEEE Std 1232™

Artificial Intelligence Exchange and Service Tie to All Test Environments (AI-ESTATE)

INTERNATIONAL
ELECTROTECHNICAL
COMMISSION

PRICE CODE XG

ICS 25.040; 35.060

ISBN 978-2-83220-102-2

Warning! Make sure that you obtained this publication from an authorized distributor.

Contents

1. Overview 1
1.1 Scope 2
1.2 Purpose 2
1.3 Conventions used in this document 3
1.4 IEEE download site 3

2. Normative references 3

3. Definitions, acronyms, and abbreviations 4
3.1 Definitions 4
3.2 Acronyms and abbreviations 5

4. Description of AI-ESTATE 5
4.1 AI-ESTATE architecture 5
4.2 Binding strategy 8

5. AI-ESTATE usage 9
5.1 Interchange format 9
5.2 Extensibility 11
5.3 Conformance 11

6. Models 12
6.1 AI_ESTATE_CEM 12
6.2 AI_ESTATE_BNM 55
6.3 AI_ESTATE_DIM 64
6.4 AI_ESTATE_DLM 68
6.5 AI_ESTATE_FTM 72
6.6 AI_ESTATE_DCM 77

7. Reasoner manipulation services 92
7.1 Service order dependence 92
7.2 Status codes 95
7.3 Data types for the reasoner manipulation services 95
7.4 Required services 110
7.5 Optional services 132

Annex A (informative) Bibliography 136

Annex B (informative) Overview of EXPRESS 138

Annex C (informative) Overview of ISO 10303-28:2007 145

Annex D (informative) Overview of ISO 10303-21:1994 152

Annex E (normative) Information object registration 157

Annex F (normative) Universal resource names for derived XML schemas 158

Annex G (informative) IEEE List of Participants 159

Published by IEC under license from IEEE. © 2010 IEEE. All rights reserved.

Artificial Intelligence Exchange and Service Tie to All Test Environments (AI-ESTATE)

FOREWORD

1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation.

   IEEE Standards documents are developed within IEEE Societies and Standards Coordinating Committees of the IEEE Standards Association (IEEE-SA) Standards Board. IEEE develops its standards through a consensus development process, which brings together volunteers representing varied viewpoints and interests to achieve the final product. Volunteers are not necessarily members of IEEE and serve without compensation. While IEEE administers the process and establishes rules to promote fairness in the consensus development process, IEEE does not independently evaluate, test, or verify the accuracy of any of the information contained in its standards. Use of IEEE Standards documents is wholly voluntary. IEEE documents are made available for use subject to important notices and legal disclaimers (see http://standards.ieee.org/IPR/disclaimers.html for more information).

   IEC collaborates closely with IEEE in accordance with conditions determined by agreement between the two organizations.

2) The formal decisions of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees. The formal decisions of IEEE on technical matters, once consensus within IEEE Societies and Standards Coordinating Committees has been reached, is determined by a balanced ballot of materially interested parties who indicate interest in reviewing the proposed standard. Final approval of the IEEE standards document is given by the IEEE Standards Association (IEEE-SA) Standards Board.

3) IEC/IEEE Publications have the form of recommendations for international use and are accepted by IEC National Committees/IEEE Societies in that sense. While all reasonable efforts are made to ensure that the technical content of IEC/IEEE Publications is accurate, IEC or IEEE cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.

4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications (including IEC/IEEE Publications) transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC/IEEE Publication and the corresponding national or regional publication shall be clearly indicated in the latter.

5) IEC and IEEE do not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC and IEEE are not responsible for any services carried out by independent certification bodies.

6) All users should ensure that they have the latest edition of this publication.

7) No liability shall attach to IEC or IEEE or their directors, employees, servants or agents including individual experts and members of technical committees and IEC National Committees, or volunteers of IEEE Societies and the Standards Coordinating Committees of the IEEE Standards Association (IEEE-SA) Standards Board, for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC/IEEE Publication or any other IEC or IEEE Publications.

8) Attention is drawn to the normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.

9) Attention is drawn to the possibility that implementation of this IEC/IEEE Publication may require use of material covered by patent rights. By publication of this standard, no position is taken with respect to the existence or validity of any patent rights in connection therewith. IEC or IEEE shall not be held responsible for identifying Essential Patent Claims for which a license may be required, for conducting inquiries into the legal validity or scope of Patent Claims or determining whether any licensing terms or conditions provided in connection with submission of a Letter of Assurance, if any, or in any licensing agreements are reasonable or non-discriminatory. Users of this standard are expressly advised that determination of the validity of any patent rights, and the risk of infringement of such rights, is entirely their own responsibility.

Published by IEC under license from IEEE. © 2010 IEEE. All rights reserved.

International Standard IEC 62243/ IEEE Std 1232-2010 has been processed through IEC technical committee 93: Design automation, under the IEC/IEEE Dual Logo Agreement.

This second edition cancels and replaces the first edition, published in 2005, and constitutes a technical revision.

The text of this standard is based on the following documents:

IEEE Std	FDIS	Report on voting
IEEE Std 1232-2010	93/320/FDIS	93/327/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

The IEC Technical Committee and IEEE Technical Committee have decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "http://webstore.iec.ch" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

Published by IEC under license from IEEE. © 2010 IEEE. All rights reserved.

IEEE Std 1232™-2010
(Revision of
IEEE Std 1232-2002)

IEEE Standard for Artificial Intelligence Exchange and Service Tie to All Test Environments (AI-ESTATE)

Sponsor

IEEE Standards Coordinating Committee 20 on Test and Diagnosis for Electronic Systems

Approved 8 December 2010

IEEE-SA Standards Board

Published by IEC under license from IEEE. © 2010 IEEE. All rights reserved.

Abstract: Data interchange and standard software services for test and diagnostic environments are defined by Artificial Intelligence Exchange and Service Tie to All Test Environments (AI-ESTATE). The purpose of AI-ESTATE is to standardize interfaces for functional elements of an intelligent diagnostic reasoner and representations of diagnostic knowledge and data for use by such diagnostic reasoners. Formal information models are defined to form the basis for a format to facilitate exchange of persistent diagnostic information between two reasoners and also to provide a formal typing system for diagnostic services. The services to control a diagnostic reasoned are defined by this standard.

Keywords: AI-ESTATE, Bayesian Network, diagnosis, diagnostic inference, diagnostic model, diagnostic services, D-matrix, fault tree, IEEE 1232, knowledge exchange, system test

IEEE is a registered trademark in the U.S. Patent & Trademark Office, owned by the Institute of Electrical and Electronics Engineers, Incorporated.

W3C is a registered trademark of the W3C® (registered in numerous countries) World Wide Web Consortium. Marks of W3C are registered and held by its host institutions MIT, ERCIM, and Keio.

Published by IEC under license from IEEE. © 2010 IEEE. All rights reserved.

IEEE Introduction

This introduction is not part of IEEE Std 1232-2010, IEEE Standard for Artificial Intelligence Exchange and Service Tie to All Test Environments (AI-ESTATE).

The AI-ESTATE standard provides a formal framework for exchanging diagnostic knowledge and communicating with diagnostic reasoners. The intent is to provide a standard framework for identifying required information for diagnosis and defining the diagnostic information in a machine-processable way. In addition, software interfaces are defined whereby applications can be developed to communicate with diagnostic reasoners in a consistent and reliable way.

Notice to users

Laws and regulations

Users of these documents should consult all applicable laws and regulations. Compliance with the provisions of this standard does not imply compliance to any applicable regulatory requirements. Implementers of the standard are responsible for observing or referring to the applicable regulatory requirements. IEEE does not, by the publication of its standards, intend to urge action that is not in compliance with applicable laws, and these documents may not be construed as doing so.

Copyrights

This document is copyrighted by the IEEE. It is made available for a wide variety of both public and private uses. These include both use, by reference, in laws and regulations, and use in private self-regulation, standardization, and the promotion of engineering practices and methods. By making this document available for use and adoption by public authorities and private users, the IEEE does not waive any rights in copyright to this document.

Updating of IEEE documents

Users of IEEE standards should be aware that these documents may be superseded at any time by the issuance of new editions or may be amended from time to time through the issuance of amendments, corrigenda, or errata. An official IEEE document at any point in time consists of the current edition of the document together with any amendments, corrigenda, or errata then in effect. In order to determine whether a given document is the current edition and whether it has been amended through the issuance of amendments, corrigenda, or errata, visit the IEEE Standards Association web site at http://ieeexplore.ieee.org/xpl/standards.jsp, or contact the IEEE at the address listed previously.

For more information about the IEEE Standards Association or the IEEE standards development process, visit the IEEE-SA web site at http://standards.ieee.org.

Published by IEC under license from IEEE. © 2010 IEEE. All rights reserved.

Errata

Errata, if any, for this and all other standards can be accessed at the following URL: http://standards.ieee.org/reading/ieee/updates/errata/index.html. Users are encouraged to check this URL for errata periodically.

Interpretations

Current interpretations can be accessed at the following URL: http://standards.ieee.org/reading/ieee/interp/index.html.

Patents

Attention is called to the possibility that implementation of this standard may require use of subject matter covered by patent rights. By publication of this standard, no position is taken with respect to the existence or validity of any patent rights in connection therewith. The IEEE is not responsible for identifying Essential Patent Claims for which a license may be required, for conducting inquiries into the legal validity or scope of Patents Claims or determining whether any licensing terms or conditions provided in connection with submission of a Letter of Assurance, if any, or in any licensing agreements are reasonable or non-discriminatory. Users of this standard are expressly advised that determination of the validity of any patent rights, and the risk of infringement of such rights, is entirely their own responsibility. Further information may be obtained from the IEEE Standards Association.

Published by IEC under license from IEEE. © 2010 IEEE. All rights reserved.

Artificial Intelligence Exchange and Service Tie to All Test Environments (AI-ESTATE)

IMPORTANT NOTICE: This standard is not intended to ensure safety, security, health, or environmental protection. Implementers of the standard are responsible for determining appropriate safety, security, environmental, and health practices or regulatory requirements.

This IEEE document is made available for use subject to important notices and legal disclaimers. These notices and disclaimers appear in all publications containing this document and may be found under the heading "Important Notice" or "Important Notices and Disclaimers Concerning IEEE Documents." They can also be obtained on request from IEEE or viewed at http://standards.ieee.org/IPR/disclaimers.html.

1. Overview

The Artificial Intelligence Exchange and Service Tie to All Test Environments (AI-ESTATE) standard was developed by the Diagnostic and Maintenance Control Subcommittee of the IEEE Standards Coordinating Committee 20 (SCC20) on Test and Diagnosis for Electronic Systems to serve as a standard for defining interfaces among diagnostic reasoners and users, test information knowledge bases, and more conventional databases. In addition to interface standards, the AI-ESTATE standard includes a set of formal data specifications to facilitate the exchange of system under test related diagnostic information.

One approach to defining the interfaces for a component of a larger system is to model, formally, the information being passed across the system's interfaces. Such a model is known as an "information model." The purpose of an information model is to identify clearly the objects in a domain of discourse (e.g., diagnostics) to enable precise communication about that domain. Such a model comprises objects or entities, relationships between those objects, and constraints on the objects and their relationships. When taken together, elements provide a complete, unambiguous, formal representation of the domain of discourse. In other words, they provide a formal language for communicating about the domain.

Using information models, information exchange can be facilitated in two ways. The first is through a set of exchange files. Specifically, information can be stored by one application in a file and read by a second application. The file format is derived directly from the information model and defines the syntax of the message contained within it. The semantics of the message (i.e., the legal content of the file) is defined by the semantics of the model. The second means of information exchange is through a set of services defined for a system component as accessed via the communications backbone. The interface definition for the component is derived from the information model and defines the syntax of the message. Once again, the legal content of the message is defined by the semantics of the model.

Published by IEC under license from IEEE. © 2010 IEEE. All rights reserved.

The semantics of information models are provided in two ways. First, the model itself defines a machine-readable semantic structure and associated constraints that ensure consistent exchange and processing of the concepts and relationships of the model elements. Second, human-readable definitions specify the correct interpretation of the model elements.

This standard describes a set of formal data and knowledge specifications consisting of the logical representation of devices, their constituents, the failure modes of those constituents, and tests of those constituents. The data and knowledge specification provides a standard representation of the common data elements required for system test and diagnosis. This will facilitate portability of test related knowledge bases for intelligent system test and diagnosis.

The goals of this standard are summarized as follows:

— Incorporate domain specific terminology

— Facilitate portability of diagnostic knowledge

— Enable the consistent exchange and integration of diagnostic capabilities

AI-ESTATE defines key data and knowledge specification formats. No host computer dependence is contained in the AI-ESTATE standard. Systems that use only these specification formats will be portable. This does not preclude use of AI-ESTATE interfaces with nonconformant specification formats; however, such systems may not be portable. A diagnostic model can be moved from one AI-ESTATE implementation to another by translating it into one of two interchange formats described in the specification. Another AI-ESTATE implementation can then utilize this information as a complete package by translating the data and knowledge from the interchange format to its own internal form. The translation step is not a requirement; an AI-ESTATE implementation may use the interchange format or its own internal form.

Software specifications defined in this standard provide a consistent means of communicating with diagnostic reasoners through a well-defined set of services. This supports interoperability of diagnostic reasoner with other elements of a test environment with no effect on the other elements of the system.

This standard also provides an extension mechanism to allow the inclusion of new diagnostic technology outside the scope of the Al-ESTATE specification.

An overview of EXPRESS can be found in Annex B. Overviews of the ISO 10303-28:2007[1] and ISO 10303-21:1994 exchange formats can be found in Annex C and Annex D, respectively.

1.1 Scope

The AI-ESTATE standard defines formal specifications for supporting system diagnosis. These specifications support the exchange and processing of diagnostic information and the control of diagnostic processes. Diagnostic processes include, but are not limited to, testability analysis, diagnosability assessment, diagnostic reasoning, maintenance support, and diagnostic maturation.

1.2 Purpose

The AI-ESTATE standard provides formal models of diagnostic information to ensure unambiguous access to an understanding of the information supporting system testing and diagnosis. The standard defines formal information models and software services specific to several different types of diagnostic reasoners.

[1]Information on references can be found in Clause 2.

Published by IEC under license from IEEE. © 2010 IEEE. All rights reserved.

The purpose is to provide semantically sound definitions of diagnostic knowledge and to specify software exchange and service interfaces that are consistent with the state of the practice in modern test and diagnostic systems (e.g., the use of eXtensible Markup Language [XML] and web services).

1.3 Conventions used in this document

This standard specifies information models, exchange formats, and services using the EXPRESS language and uses the following conventions in their presentation.

Information models are provided in the form of EXPRESS schemas. Exchange files provide the instances of those schemas for a particular diagnostic model. Note that "information model" and "diagnostic model" use the word "model" in subtly different ways. In an attempt to resolve this confusion, in this document, information models will be referred to as EXPRESS schemas and instances of a schema corresponding to a diagnostic model will be referred to as instances (e.g., Dynamic Context Part 21 instance).

All specifications in the EXPRESS and XML languages are given in the `Courier New` type font. The EXPRESS schemas include comment delimiters "(*" and "*)".

Each entity of each EXPRESS schema is presented in a separate subclause. Within a schema, subclauses are listed in alphabetical order by constants, types, enumerated types, select types, entities, and then functions. The subclause structure begins with the actual EXPRESS specification; then, each attribute of the entity is described below the attribute definition heading. If any constraints have been specified, these are described below the formal propositions heading.

This standard uses the vocabulary and definitions of relevant IEEE standards. In the event of conflict between this standard and a related standard such as IEEE Std 1636™-2009 [B5],[2] the standard as it applies to the information being produced shall take precedence. In the event of any conflict between the models and AI-ESTATE definitions (Clause 3), the models' lexical definitions shall take precedence

1.4 IEEE download site

The schemas and examples that accompany this standard are available on the Internet at http://standards.ieee.org/downloads/1232/1232-2010.

2. Normative references

The following referenced documents are indispensable for the application of this document (i.e., they must be understood and used, so each referenced document is cited in text and its relationship to this document is explained). For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments or corrigenda) applies.

Internet Engineering Task Force (IETF) RFC 2396 (August 1998), Uniform Resource Identifiers (URI): Generic Syntax. [cited 2004-03-15].[3,4]

[2] The numbers in brackets correspond to those of the bibliography in Annex A.
[3] IETF publications are available from the Internet Engineering Task Force, IETF Secretariat, c/o Association Management Solutions, LLC (AMS), 48377 Fremont Boulevard, Suite 117, Fremont, CA 94538, USA (http://www.ietf.org).
[4] This reference can be downloaded at http://www.ietf.org/rfc/rfc2396.txt.

Published by IEC under license from IEEE. © 2010 IEEE. All rights reserved.

ISO 10303-11:1994 Industrial Automation Systems and Integration—Product Data Representation and Exchange—Part 11: The EXPRESS Language Reference Manual.[5]

ISO 10303-21:1994 Industrial Automation Systems and Integration—Product Data Representation and Exchange—Part 21: Clear Text Encoding of the Exchange Structure.

ISO 10303-21:1994 Technical Corrigendum 1.

ISO 10303-28:2007 Industrial Automation Systems and Integraion—Product Data Representation and Exchange—Part 28: XML Representation of EXPRESS Schemas and Data using XML Schemas.

[5]ISO publications are available from the ISO Central Secretariat, Case Postale 56, 1 rue de Varembé, CH-1211, Genève 20, Switzerland/Suisse (http://www.iso.ch/). ISO publications are also available in the United States from the Sales Department, American National Standards Institute, 25 West 43rd Street, 4th Floor, New York, NY 10036, USA (http://www.ansi.org/).

Published by IEC under license from IEEE. © 2010 IEEE. All rights reserved.