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Medical electrical equipment – Part 4-3: Guidance and interpretation – Considerations of unaddressed safety aspects in the third edition of IEC 60601-1 and proposals for new requirements

INTERNATIONAL ELECTROTECHNICAL COMMISSION

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

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MEDICAL ELECTRICAL EQUIPMENT –

Part 4-3: Guidance and interpretation – Considerations of unaddressed safety aspects in the third edition of IEC 60601-1 and proposals for new requirements

FOREWORD

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This Redline version provides you with a quick and easy way to compare all the changes between this standard and its previous edition. A vertical bar appears in the margin wherever a change has been made. Additions are in green text, deletions are in strikethrough red text.

The main task of IEC technical committees is to prepare International Standards. However, a technical committee may propose the publication of a technical report when it has collected data of a different kind from that which is normally published as an International Standard, for example "state of the art".

IEC TR 60601-4-3, which is a technical report, has been prepared by subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC technical committee 62: Electrical equipment in medical practice.

This second edition cancels and replaces the first edition of IEC 60601-4-3 published in 2015. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition: addition of 47 new recommendations.

The text of this document is based on the following documents:

Enquiry draft	Report on voting
62A/1236/DTR	62A/1258A/RVDTR

Full information on the voting for the approval of this technical report can be found in the report on voting indicated in the above table.

This document has been drafted in accordance with the ISO/IEC Directives, Part 2.

Terms used throughout this document that have been defined in Clause 3 of IEC 60601-1:2005 and IEC 60601-1:2005/AMD 1:2012, IEC 60601-1-8:2006 and IEC 60601-1-8:2006/AMD1:2012, IEC 60601-1-11:2010, IEC 60601-1-11:2015 and IEC 60601-1-12:2014 are printed in SMALL CAPITALS.

A list of all parts in the IEC 60601 series, published under the general title *Medical electrical equipment*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under "http://webstore.iec.ch" in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

At the Sydney meeting in ~~August 1994~~ November 1993, IEC subcommittee (SC) 62A established a procedure under which working group (WG) 14 would develop recommendations regarding problems of interpretation or application of IEC 60601-1. WG 14 is made up of experts with particular expertise in testing according to the requirements of IEC 60601-1. Many of the experts on WG 14 are employed by test laboratories with a long history of applying IEC 60601-1 to MEDICAL ELECTRICAL EQUIPMENT. While the National Committee members of SC 62A nominate these experts, their recommendations were not to be formally adopted through any official voting procedure. To reinforce this process, the subcommittee specifically directed that the following note appear on every page of the resulting informational circular:

IMPORTANT NOTE: Per the 62A decision at Sydney (see RM3755/SC62A, August 1994), the 62A Secretary is circulating this recommendation, prepared by 62A/WG 14, regarding problems of interpretation or application of IEC 60601-1 to all P-Member NCs.

This recommendation/interpretation is the result of considerations by a group of nominated experts and has not been formally adopted through any National Committee voting procedure. Distribution is only for information.

At the November 2000 meeting of SC 62A in Tokyo, the subcommittee discussed ways and means for achieving a wider distribution of the WG 14 recommendations. At the conclusion of this discussion, the subcommittee instructed the Secretariat to develop a technical report (TR) based on the published recommendations of WG 14. This technical report is intended to convey the results of WG 14's work to interested parties such as MANUFACTURERS and test laboratories while retaining the informative nature of the material.

This second edition of IEC TR 60601-4-3 contains ~~93~~ 143 recommendations, numbered 101 to ~~193~~ 243. All these recommendations are based upon IEC 60601-1:2005 and IEC 60601-1:2005/AMD1:2012, and IEC 60601-1-8:2006 and IEC 60601-1-8:2006/AMD1:2012, IEC 60601-1-11:2010, IEC 60601-1-11:2015 and IEC 60601-1-12:2014.

The numbering starts with 101 instead of just 1 to ensure that these WG 14 recommendations (101 to ~~193~~ 243) will not accidentally be confused with previous issued WG 14 recommendations 1 to 63, which are based on IEC 60601-1:1998 and published in IEC TR 62296:2009.

This document may be amended from time to time as WG 14 prepares additional recommendations.

MEDICAL ELECTRICAL EQUIPMENT –

Part 4-3: Guidance and interpretation – Considerations of unaddressed safety aspects in the third edition of IEC 60601-1 and proposals for new requirements

1 Scope ~~and object~~

~~1.1 Scope~~

This part of IEC 60601, which is a Technical Report, contains a series of recommendations developed by an expert working group of IEC subcommittee 62A in response to questions of interpretation of IEC 60601-1:2005 and related collateral standards in the IEC 60601 series.

This document is primarily intended to be used by:

– MANUFACTURERS of MEDICAL ELECTRICAL EQUIPMENT;

– test laboratories and others responsible for assessment of compliance with IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012, IEC 60601-1-8:2006, IEC 60601-1-8:2006/AMD1:2012, IEC 60601-1-11:2010, IEC 60601-1-11:2015 and IEC 60601-1-12:2014;

– those developing subsequent editions of IEC 60601-1.

The recommendations in the first edition of IEC TR 62296 were considered in preparing the third edition of IEC 60601-1. Similarly, it is expected that these recommendations within IEC 60601-4-3 will be considered when preparing future revisions of IEC 60601-1 and related collateral standards in the IEC 60601 series.

~~1.2 Object~~

The object of this document is to make the recommendations/interpretations ~~developed by the experts in IEC/SC 62A/WG 14~~ available to those interested in the application of the third edition of IEC 60601-1 and applicable collateral standards.

~~The reader is reminded that, although a majority of the National Committee members of IEC/SC 62A have approved publication of this technical report, the contents remain the opinion of the expert members of WG 14. These recommendations/interpretations are the result of considerations by this group of nominated experts and have not been formally adopted through any National Committee voting procedure. Distribution is only for information.~~

NOTE There might be other acceptable solutions which are not reflected in this document. The reader is reminded that, although a majority of the National Committee members of IEC/SC 62A have approved publication of this document, the contents remain the opinion of the expert members having participated in the drafting of the document. These recommendations/interpretations are the result of considerations by this group of nominated experts and have not been formally adopted through any National Committee voting procedure. Distribution is only for information.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE For improved reading and easy understanding of the recommendation section of each issue, the referenced standards are written as follows:

a) Written IEC 60601-1:2005, meant only Edition 3.0 from 2005.

b) Written IEC 60601-1:2005/AMD1:2012, meant only Amendment 1:2012.

c) Written IEC 60601-1:2005 and IEC 60601-1:2005/AMD1:2012, meant Edition 3.0 and Amendment 1:2012 combined.

d) Written IEC 60601-1 (in undated form), meant IEC 60601-1:2005 and IEC 60601-1:2005/AMD1:2012 (in the year 2018 the latest edition of IEC 60601-1).

If an edition is not explicitly specified, all editions referenced in this normative references clause applies.

IEC 60332-1-2, *Tests on electric and optical fibre cables under fire conditions – Part 1-2: Test for vertical flame propagation for a single insulated wire or cable – Procedure for 1 kW pre-mixed flame*

IEC 60332-2-2, *Tests on electric and optical fibre cables under fire conditions – Part 2-2: Test for vertical flame propagation for a single small insulated wire or cable – Procedure for diffusion flame*

IEC 60529:1989, *Degrees of protection provided by enclosures (IP Code)*
IEC 60529:1989/AMD1:1999
IEC 60529:1989/AMD2:2013

IEC 60601-1:2005, *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance*
IEC 60601-1:2005/AMD1:2012

IEC 60601-1-2:2014, *Medical electrical equipment – Part 1-2: General requirements for basic safety and essential performance – Collateral Standard: Electromagnetic disturbances – Requirements and tests*

IEC 60601-1-8:2006, *Medical electrical equipment – Part 1-8: General requirements for basic safety and essential performance – Collateral Standard: General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems*
IEC 60601-1-8:2006/AMD1:2012

IEC 60601-1-11:2010, *Medical electrical equipment – Part 1-11: General requirements for basic safety and essential performance – Collateral Standard: Requirements for medical electrical equipment and medical electrical systems used in the home healthcare environment*[1]

IEC 60601-1-11:2015, *Medical electrical equipment – Part 1-11: General requirements for basic safety and essential performance – Collateral Standard: Requirements for medical electrical equipment and medical electrical systems used in the home healthcare environment*

IEC 60601-1-12:2014, *Medical electrical equipment – Part 1-12: General requirements for basic safety and essential performance – Collateral Standard: Requirements for medical electrical equipment and medical electrical systems intended for use in the emergency medical services environment*

IEC 60747-5-5:2007, *Semiconductor devices – Discrete devices – Part 5-5: Optoelectronic devices – Photocouplers*

IEC 60950-1:2005, *Information technology equipment – Safety – Part 1: General requirements*

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1 This publication was withdrawn and replaced by IEC 60601-1-11:2015.

IEC 62133, *Secondary cells and batteries containing alkaline or other non-acid electrolytes – Safety requirements for portable sealed secondary cells, and for batteries made from them, for use in portable applications*[2]

IEC 62304:2006, *Medical device software – Software life cycle processes*
IEC 62304:2006/AMD1:2015

ISO 8820-3:2010, *Road vehicles – Fuse-links – Part 3: Fuse-links with tabs (blade type) Type C (medium), Type E (high current) and Type F (miniature)*

ISO 14971:2000, *Medical devices – Application of risk management to medical devices*[3]

ISO 14971:2007, *Medical devices – Application of risk management to medical devices*

UL 1642:2012, *Standard for lithium batteries*

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2 This publication was withdrawn and replaced by IEC 62366-1:2015.

3 This publication was withdrawn and replaced by ISO 14971:2007.

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TECHNICAL REPORT

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

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MEDICAL ELECTRICAL EQUIPMENT –

Part 4-3: Guidance and interpretation – Considerations of unaddressed safety aspects in the third edition of IEC 60601-1 and proposals for new requirements

FOREWORD

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The main task of IEC technical committees is to prepare International Standards. However, a technical committee may propose the publication of a technical report when it has collected data of a different kind from that which is normally published as an International Standard, for example "state of the art".

IEC TR 60601-4-3, which is a technical report, has been prepared by subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC technical committee 62: Electrical equipment in medical practice.

This second edition cancels and replaces the first edition of IEC 60601-4-3 published in 2015. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition: addition of 47 new recommendations.

The text of this document is based on the following documents:

Enquiry draft	Report on voting
62A/1236/DTR	62A/1258A/RVDTR

Full information on the voting for the approval of this technical report can be found in the report on voting indicated in the above table.

This document has been drafted in accordance with the ISO/IEC Directives, Part 2.

Terms used throughout this document that have been defined in Clause 3 of IEC 60601-1:2005 and IEC 60601-1:2005/AMD 1:2012, IEC 60601-1-8:2006 and IEC 60601-1-8:2006/AMD1:2012, IEC 60601-1-11:2010, IEC 60601-1-11:2015 and IEC 60601-1-12:2014 are printed in SMALL CAPITALS.

A list of all parts in the IEC 60601 series, published under the general title *Medical electrical equipment*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under "http://webstore.iec.ch" in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

A bilingual version of this document may be issued at a later date.

IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

At the Sydney meeting in November 1993, IEC subcommittee (SC) 62A established a procedure under which working group (WG) 14 would develop recommendations regarding problems of interpretation or application of IEC 60601-1. WG 14 is made up of experts with particular expertise in testing according to the requirements of IEC 60601-1. Many of the experts on WG 14 are employed by test laboratories with a long history of applying IEC 60601-1 to MEDICAL ELECTRICAL EQUIPMENT. While the National Committee members of SC 62A nominate these experts, their recommendations were not to be formally adopted through any official voting procedure. To reinforce this process, the subcommittee specifically directed that the following note appear on every page of the resulting informational circular:

IMPORTANT NOTE: Per the 62A decision at Sydney (see RM3755/SC62A, August 1994), the 62A Secretary is circulating this recommendation, prepared by 62A/WG 14, regarding problems of interpretation or application of IEC 60601-1 to all P-Member NCs.

This recommendation/interpretation is the result of considerations by a group of nominated experts and has not been formally adopted through any National Committee voting procedure. Distribution is only for information.

At the November 2000 meeting of SC 62A in Tokyo, the subcommittee discussed ways and means for achieving a wider distribution of the WG 14 recommendations. At the conclusion of this discussion, the subcommittee instructed the Secretariat to develop a technical report (TR) based on the published recommendations of WG 14. This technical report is intended to convey the results of WG 14's work to interested parties such as MANUFACTURERS and test laboratories while retaining the informative nature of the material.

This second edition of IEC TR 60601-4-3 contains 143 recommendations, numbered 101 to 243. All these recommendations are based upon IEC 60601-1:2005 and IEC 60601-1:2005/AMD1:2012, and IEC 60601-1-8:2006 and IEC 60601-1-8:2006/AMD1:2012, IEC 60601-1-11:2010, IEC 60601-1-11:2015 and IEC 60601-1-12:2014.

The numbering starts with 101 instead of just 1 to ensure that these WG 14 recommendations (101 to 243) will not accidentally be confused with previous issued WG 14 recommendations 1 to 63, which are based on IEC 60601-1:1998 and published in IEC TR 62296:2009.

This document may be amended from time to time as WG 14 prepares additional recommendations.

MEDICAL ELECTRICAL EQUIPMENT –

Part 4-3: Guidance and interpretation – Considerations of unaddressed safety aspects in the third edition of IEC 60601-1 and proposals for new requirements

1 Scope

This part of IEC 60601, which is a Technical Report, contains a series of recommendations developed by an expert working group of IEC subcommittee 62A in response to questions of interpretation of IEC 60601-1:2005 and related collateral standards in the IEC 60601 series.

This document is primarily intended to be used by:

– MANUFACTURERS of MEDICAL ELECTRICAL EQUIPMENT;

– test laboratories and others responsible for assessment of compliance with IEC 60601-1:2005, IEC 60601-1:2005/AMD1:2012, IEC 60601-1-8:2006, IEC 60601-1-8:2006/AMD1:2012, IEC 60601-1-11:2010, IEC 60601-1-11:2015 and IEC 60601-1-12:2014;

– those developing subsequent editions of IEC 60601-1.

The recommendations in the first edition of IEC TR 62296 were considered in preparing the third edition of IEC 60601-1. Similarly, it is expected that these recommendations within IEC 60601-4-3 will be considered when preparing future revisions of IEC 60601-1 and related collateral standards in the IEC 60601 series.

The object of this document is to make the recommendations/interpretations available to those interested in the application of the third edition of IEC 60601-1 and applicable collateral standards.

NOTE There might be other acceptable solutions which are not reflected in this document. The reader is reminded that, although a majority of the National Committee members of IEC/SC 62A have approved publication of this document, the contents remain the opinion of the expert members having participated in the drafting of the document. These recommendations/interpretations are the result of considerations by this group of nominated experts and have not been formally adopted through any National Committee voting procedure. Distribution is only for information.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE For improved reading and easy understanding of the recommendation section of each issue, the referenced standards are written as follows:

a) Written IEC 60601-1:2005, meant only Edition 3.0 from 2005.

b) Written IEC 60601-1:2005/AMD1:2012, meant only Amendment 1:2012.

c) Written IEC 60601-1:2005 and IEC 60601-1:2005/AMD1:2012, meant Edition 3.0 and Amendment 1:2012 combined.

d) Written IEC 60601-1 (in undated form), meant IEC 60601-1:2005 and IEC 60601-1:2005/AMD1:2012 (in the year 2018 the latest edition of IEC 60601-1).

If an edition is not explicitly specified, all editions referenced in this normative references clause applies.

IEC 60332-1-2, *Tests on electric and optical fibre cables under fire conditions – Part 1-2: Test for vertical flame propagation for a single insulated wire or cable – Procedure for 1 kW pre-mixed flame*

IEC 60332-2-2, *Tests on electric and optical fibre cables under fire conditions – Part 2-2: Test for vertical flame propagation for a single small insulated wire or cable – Procedure for diffusion flame*

IEC 60529:1989, *Degrees of protection provided by enclosures (IP Code)*
IEC 60529:1989/AMD1:1999
IEC 60529:1989/AMD2:2013

IEC 60601-1:2005, *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance*
IEC 60601-1:2005/AMD1:2012

IEC 60601-1-2:2014, *Medical electrical equipment – Part 1-2: General requirements for basic safety and essential performance – Collateral Standard: Electromagnetic disturbances – Requirements and tests*

IEC 60601-1-8:2006, *Medical electrical equipment – Part 1-8: General requirements for basic safety and essential performance – Collateral Standard: General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems*
IEC 60601-1-8:2006/AMD1:2012

IEC 60601-1-11:2010, *Medical electrical equipment – Part 1-11: General requirements for basic safety and essential performance – Collateral Standard: Requirements for medical electrical equipment and medical electrical systems used in the home healthcare environment*[1]

IEC 60601-1-11:2015, *Medical electrical equipment – Part 1-11: General requirements for basic safety and essential performance – Collateral Standard: Requirements for medical electrical equipment and medical electrical systems used in the home healthcare environment*

IEC 60601-1-12:2014, *Medical electrical equipment – Part 1-12: General requirements for basic safety and essential performance – Collateral Standard: Requirements for medical electrical equipment and medical electrical systems intended for use in the emergency medical services environment*

IEC 60747-5-5:2007, *Semiconductor devices – Discrete devices – Part 5-5: Optoelectronic devices – Photocouplers*

IEC 60950-1:2005, *Information technology equipment – Safety – Part 1: General requirements*

IEC 62133, *Secondary cells and batteries containing alkaline or other non-acid electrolytes – Safety requirements for portable sealed secondary cells, and for batteries made from them, for use in portable applications*[2]

IEC 62304:2006, *Medical device software – Software life cycle processes*
IEC 62304:2006/AMD1:2015

ISO 8820-3:2010, *Road vehicles – Fuse-links – Part 3: Fuse-links with tabs (blade type) Type C (medium), Type E (high current) and Type F (miniature)*

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1 This publication was withdrawn and replaced by IEC 60601-1-11:2015.

2 This publication was withdrawn and replaced by IEC 62366-1:2015.

ISO 14971:2000, *Medical devices – Application of risk management to medical devices*[3]

ISO 14971:2007, *Medical devices – Application of risk management to medical devices*

UL 1642:2012, *Standard for lithium batteries*

3 This publication was withdrawn and replaced by ISO 14971:2007.