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Information technology — Security techniques — Network security —

Part 1: Overview and concepts

Technologies de l'information — Techniques de sécurité — Sécurité de réseau —

Partie 1: Vue d'ensemble et concepts

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Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

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For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT) see the following URL: Foreword - Supplementary information

The committee responsible for this document is ISO/IEC JTC 1, *Information technology*, Subcommittee SC 27, *Security techniques*.

This second edition cancels and replaces the first edition (ISO/IEC 27033-1:2009), which have been technically revised.

ISO/IEC 27033 consists of the following parts, under the general title *Information technology — Security techniques — Network security*:

— *Part 1: Overview and concepts*

— *Part 2: Guidelines for the design and implementation of network security*

— *Part 3: Reference networking scenarios — Threats, design techniques and control issues*

— *Part 4: Securing communications between networks using security gateways*

— *Part 5: Securing communications across networks using Virtual Private Networks (VPNs)*

— *Part 6: Securing wireless IP network access*

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Introduction

In today's world, the majority of both commercial and government organizations have their information systems connected by networks (see Figure 1), with the network connections being one or more of the following:

— within the organization,

— between different organizations,

— between the organization and the general public.

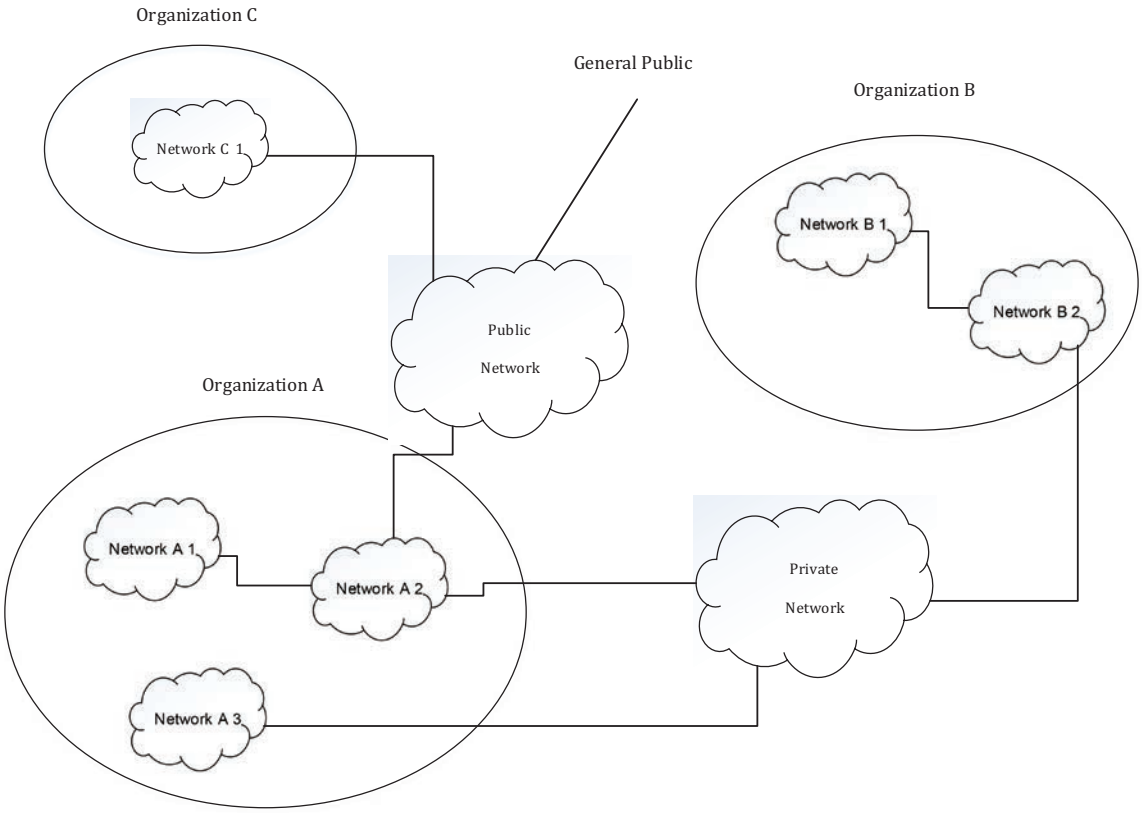


Figure 1 — Broad types of network connection

Further, with the rapid developments in publicly available network technology (in particular with the Internet) offering significant business opportunities, organizations are increasingly conducting electronic business on a global scale and providing online public services. The opportunities include the provision of lower cost data communications, using the Internet simply as a global connection medium, through to more sophisticated services provided by Internet service providers (ISPs). This can mean the use of relatively low cost local attachment points at each end of a circuit to full scale online electronic trading and service delivery systems, using web-based applications and services. Additionally, the new technology (including the integration of data, voice and video) increases the opportunities for remote working (also known as "teleworking" or "telecommuting") that enable personnel to operate away from their homework base for significant periods of time. They are able to keep in contact through the use of remote facilities to access organization and community networks and related business support information and services.

However, whilst this environment does facilitate significant business benefits, there are new security risks to be managed. With organizations relying heavily on the use of information and associated networks to conduct their business, the loss of confidentiality, integrity, and availability of information and services could have significant adverse impacts on business operations. Thus, there is a major

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requirement to properly protect networks and their related information systems and information. In other words: *implementing and maintaining adequate network security is absolutely critical to the success of any organization's business operations.*

In this context, the telecommunications and information technology industries are seeking cost-effective comprehensive security solutions, aimed at protecting networks against malicious attacks and inadvertent incorrect actions, and meeting the business requirements for confidentiality, integrity, and availability of information and services. Securing a network is also essential for maintaining the accuracy of billing or usage information as appropriate. Security capabilities in products are crucial to overall network security (including applications and services). However, as more products are combined to provide total solutions, the interoperability, or the lack thereof, will define the success of the solution. Security must not only be a thread of concern for each product or service, but must be developed in a manner that promotes the interweaving of security capabilities in the overall security solution.

The purpose of this International Standard is to provide detailed guidance on the security aspects of the management, operation and use of information system networks, and their inter-connections. Those individuals within an organization that are responsible for information security in general, and network security in particular, should be able to adapt the material in this International Standard to meet their specific requirements. Its main objectives are as follows.

— ISO/IEC 27033-1, to define and describe the concepts associated with, and provide management guidance on, network security. This includes the provision of an overview of network security and related definitions, and guidance on how to identify and analyse network security risks and then define network security requirements. It also introduces how to achieve good quality technical security architectures, and the risk, design and control aspects associated with typical network scenarios and network "technology" areas (which are dealt with in detail in subsequent parts of ISO/IEC 27033).

— ISO/IEC 27033-2, to define how organizations should achieve quality network technical security architectures, designs and implementations that will ensure network security appropriate to their business environments, using a consistent approach to the planning, design and implementation of network security, as relevant, aided by the use of models/frameworks (in this context, a model/framework is used to outline a representation or description showing the structure and high level workings of a type of technical security architecture/design), and is relevant to all personnel who are involved in the planning, design and implementation of the architectural aspects of network security (for example network architects and designers, network managers, and network security officers).

— ISO/IEC 27033-3, to define the specific risks, design techniques and control issues associated with typical network scenarios. It is relevant to all personnel who are involved in the planning, design and implementation of the architectural aspects of network security (for example, network architects and designers, network managers, and network security officers).

— ISO/IEC 27033-4, to define the specific risks, design techniques and control issues for securing information flows between networks using security gateways. It is relevant to all personnel who are involved in the detailed planning, design and implementation of security gateways (for example, network architects and designers, network managers, and network security officers).

— ISO/IEC 27033-5, to define the specific risks, design techniques and control issues for securing connections that are established using Virtual Private Networks (VPNs). It is relevant to all personnel who are involved in the detailed planning, design and implementation of VPN security (for example, network architects and designers, network managers, and network security officers).

— ISO/IEC 27033-6, to define the specific risks, design techniques and control issues for securing IP wireless networks. It is relevant to all personnel who are involved in the detailed planning, design and implementation of security for wireless networks (for example, network architects and designers, network managers, and network security officers).

It is emphasized that this International Standard provides further detailed implementation guidance on the network security controls that are described at a basic standardized level in ISO/IEC 27002.

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It should be noted that this International Standard is not a reference or normative document for regulatory and legislative security requirements. Although it emphasizes the importance of these influences, it cannot state them specifically, since they are dependent on the country, the type of business, etc.

Unless otherwise stated, throughout this part of ISO/IEC 27033 the guidance referenced is applicable to current and/or planned networks, but will only be referenced as “networks” or “the network”.

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Information technology — Security techniques — Network security —

Part 1: Overview and concepts

1 Scope

This part of ISO/IEC 27033 provides an overview of network security and related definitions. It defines and describes the concepts associated with, and provides management guidance on, network security. (Network security applies to the security of devices, security of management activities related to the devices, applications/services, and end-users, in addition to security of the information being transferred across the communication links.)

It is relevant to anyone involved in owning, operating or using a network. This includes senior managers and other non-technical managers or users, in addition to managers and administrators who have specific responsibilities for information security and/or network security, network operation, or who are responsible for an organization's overall security program and security policy development. It is also relevant to anyone involved in the planning, design and implementation of the architectural aspects of network security.

This part of ISO/IEC 27033 also includes the following:

— provides guidance on how to identify and analyse network security risks and the definition of network security requirements based on that analysis,

— provides an overview of the controls that support network technical security architectures and related technical controls, as well as those non-technical controls and technical controls that are applicable not just to networks,

— introduces how to achieve good quality network technical security architectures, and the risk, design and control aspects associated with typical network scenarios and network "technology" areas (which are dealt with in detail in subsequent parts of ISO/IEC 27033), and briefly addresses the issues associated with implementing and operating network security controls, and the on-going monitoring and reviewing of their implementation.

Overall, it provides an overview of this International Standard and a "road map" to all other parts.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 7498 (all parts), *Information technology — Open Systems Interconnection — Basic Reference Model: Naming and addressing*

ISO/IEC 27001, *Information technology — Security techniques — Information security management systems — Requirements*

ISO/IEC 27002, *Information technology — Security techniques — Code of practice for information security controls*

ISO/IEC 27005, *Information technology — Security techniques — Information security risk management*

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3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 7498 (all parts), ISO/IEC 27000, ISO/IEC 27001, ISO/IEC 27002, ISO/IEC 27005 and the following apply.

NOTE The following terms and definitions also apply to all parts of ISO/IEC 27033.

3.1
alert
"instant" indication that an information system and network may be under attack, or in danger because of accident, failure or human error

3.2
architecture
fundamental organization of a system embodied in its components, their relationships to each other, and to the environment, and the principles guiding its design and evolution

[SOURCE: ISO/IEC 15288:2008, 4.5]

3.3
attacker
person deliberately exploiting vulnerabilities in technical and non-technical security controls in order to steal or compromise information systems and networks, or to compromise availability to legitimate users of information system and network resources

3.4
audit logging
recording of data on information security events for the purpose of review and analysis, and ongoing monitoring

3.5
audit tools
automated tools to aid the analysis of the contents of audit logs

3.6
certification authority
CA
authority trusted by one or more users to create and assign public-key certificates

Note 1 to entry: Optionally, the certification authority can create the users' keys.

Note 2 to entry: The role of the certification authority (CA) in this process is to guarantee that the individual granted the unique certificate is, in fact, who he or she claims to be. Usually, this means that the CA has an arrangement with an institution which provides it with information to confirm an individual's claimed identity. CAs are a critical component in information security and electronic commerce because they guarantee that the two parties exchanging information are really who they claim to be.

3.7
corporate information security policy
document that describes management direction and support for information security in accordance with business requirements and relevant laws and regulations

Note 1 to entry: The document describes the high-level information security requirements that have to be followed throughout the organization.

3.8
demilitarized zone
DMZ
perimeter network (also known as a screened sub-net) inserted as a "neutral zone" between networks

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