TECHNICAL REPORT

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Systems and software engineering — Lifecycle profiles for Very Small Entities (VSEs) —

Part 5-1-3: Software engineering — Management and engineering guide: Generic profile group — Intermediate profile

Ingénierie des systèmes et du logiciel — Profils de cycle de vie pour très petits organismes (TPO) —

Partie 5-1-3: Ingénierie du logiciel — Guide d'ingénierie et de gestion: Groupe de profils génériques — Profil intermédiaire

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Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL: www.iso.org/iso/foreword.html.

The committee responsible for this document is ISO/IEC JTC 1, *Information technology*, Subcommittee SC 7, *Software and systems engineering*.

A list of all the parts in the ISO/IEC 29110 series can be found on the ISO website.

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Introduction

Very Small Entities (VSEs) around the world are creating valuable products and services. For the purpose of the ISO/IEC 29110 series, a Very Small Entity (VSE) is an enterprise, an organization, a department or a project having up to 25 people. Since many VSEs develop and/or maintain system and software components used in systems, either as independent products or incorporated in larger systems, recognition of VSEs as suppliers of high quality products is required.

According to the Organization for Economic Co-operation and Development (OECD) SME and Entrepreneurship Outlook report (2005), Small and Medium Enterprises (SMEs) constitute the dominant form of business organization in all countries world-wide, accounting for over 95 % and up to 99 % of the business population depending on country. The challenge facing governments and economies is to provide a business environment that supports the competitiveness of this large heterogeneous business population and that promotes a vibrant entrepreneurial culture.

From studies and surveys conducted, it is clear that the majority of International Standards do not address the needs of VSEs. Implementation of and conformance with these standards is difficult, if not impossible. Consequently, VSEs have no, or very limited, ways to be recognized as entities that produce quality systems/system elements, including software in their domain. Therefore, VSEs are excluded from some economic activities.

It has been found that VSEs find it difficult to relate International Standards to their business needs and to justify the effort required to apply standards to their business practices. Most VSEs can neither afford the resources, in terms of number of employees, expertise, budget and time, nor do they see a net benefit in establishing over-complex systems or software life cycle processes. To address some of these difficulties, a set of guides has been developed based on a set of VSE characteristics. The guides are based on subsets of appropriate standards processes, activities, tasks, and outcomes, referred to as profiles. The purpose of a profile is to define a subset of International Standards relevant to the VSEs' context; for example, processes, activities, tasks, and outcomes of ISO/IEC/IEEE 12207 for software; processes, activities, tasks, and outcomes of ISO/IEC/IEEE 15288 for systems; information products (documentation) of ISO/IEC/IEEE 15289 for software and systems.

VSEs can achieve recognition through implementing a profile and by being audited against ISO/IEC 29110 specifications.

The ISO/IEC 29110 series of standards and technical reports can be applied at any phase of system or software development within a life cycle. This series of standards and technical reports is intended to be used by VSEs that do not have experience or expertise in adapting/tailoring ISO/IEC/IEEE 12207 or ISO/IEC/IEEE 15288 standards to the needs of a specific project. VSEs that have expertise in adapting/tailoring ISO/IEC/IEEE 12207 or ISO/IEC/IEEE 15288 are encouraged to use those standards instead of ISO/IEC 29110.

The ISO/IEC 29110 series is intended to be used with any lifecycle such as waterfall, iterative, incremental, evolutionary or agile.

Systems, in the context of the ISO/IEC 29110 series, are typically composed of hardware and software components.

The ISO/IEC 29110 series, targeted by audience, has been developed to improve system or software and/or service quality, and process performance. See Table 1.

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Table 1 — ISO/IEC 29110 target audience

ISO/IEC 29110	Title	Target audience
ISO/IEC 29110–1	Overview	VSEs and their customers, assessors, standards producers, tool vendors and methodology vendors.
ISO/IEC 29110–2	Framework for profile preparation	Profile producers, tool vendors and methodology vendors. Not intended for VSEs.
ISO/IEC 29110–3	Certification and assessment guidance	VSEs and their customers, assessors, accreditation bodies.
ISO/IEC 29110–4	Profile specifications	VSEs, customers, standards producers, tool vendors and methodology vendors.
ISO/IEC TR 29110–5	Management, engineering and service delivery guides	VSEs and their customers.
ISO/IEC 29110–6	Management and engineering guides not tied to a specific profile	VSEs and their customers.

If a new profile is needed, ISO/IEC 29110-4 and ISO/IEC TR 29110-5 can be developed with minimal impact to existing documents.

ISO/IEC 29110-1 defines the terms common to the ISO/IEC 29110 series. It introduces processes, lifecycle and standardization concepts, the taxonomy (catalogue) of ISO/IEC 29110 profiles and the ISO/IEC 29110 series. It also introduces the characteristics and needs of a VSE, and clarifies the rationale for specific profiles, documents, standards and guides.

ISO/IEC 29110-2-1 introduces the concepts for systems and software engineering profiles for VSEs. It establishes the logic behind the definition and application of profiles. For standardized profiles, it specifies the elements common to all profiles (structure, requirements, conformance, assessment). For domain-specific profiles (profiles that are not standardized and developed outside of the ISO process), it provides general guidance adapted from the definition of standardized profiles.

ISO/IEC 29110-3 defines certification schemes, assessment guidelines and compliance requirements for process capability assessment, conformity assessments, and self-assessments for process improvements. ISO/IEC 29110-3 also contains information that can be useful to developers of certification and assessment methods and developers of certification and assessment tools. ISO/IEC 29110-3 is addressed to people who have direct involvement with the assessment process, e.g. the auditor, certification and accreditation bodies and the sponsor of the audit, who need guidance on ensuring that the requirements for performing an audit have been met.

ISO/IEC 29110-4–m provides the specification for all profiles in one profile group that are based on subsets of appropriate standards elements.

ISO/IEC TR 29110-5–m provides management, engineering and service delivery guides for the profiles in a profile group.

The future ISO/IEC TR 29110-6-x provides management and engineering guides not tied to a specific profile.

This document provides a management and engineering guide for the software intermediate profile of the generic profile group. This guide describes the management of more than one project in parallel with more than one work team.

Figure 1 describes the ISO/IEC 29110 International Standards (IS) and Technical Reports (TR) and positions the parts within the framework of reference. Overview, assessment guide, management and engineering guide are available from ISO as freely available. Technical Reports (TR). The Framework document, profile specifications and certification schemes are published as International Standards (IS).

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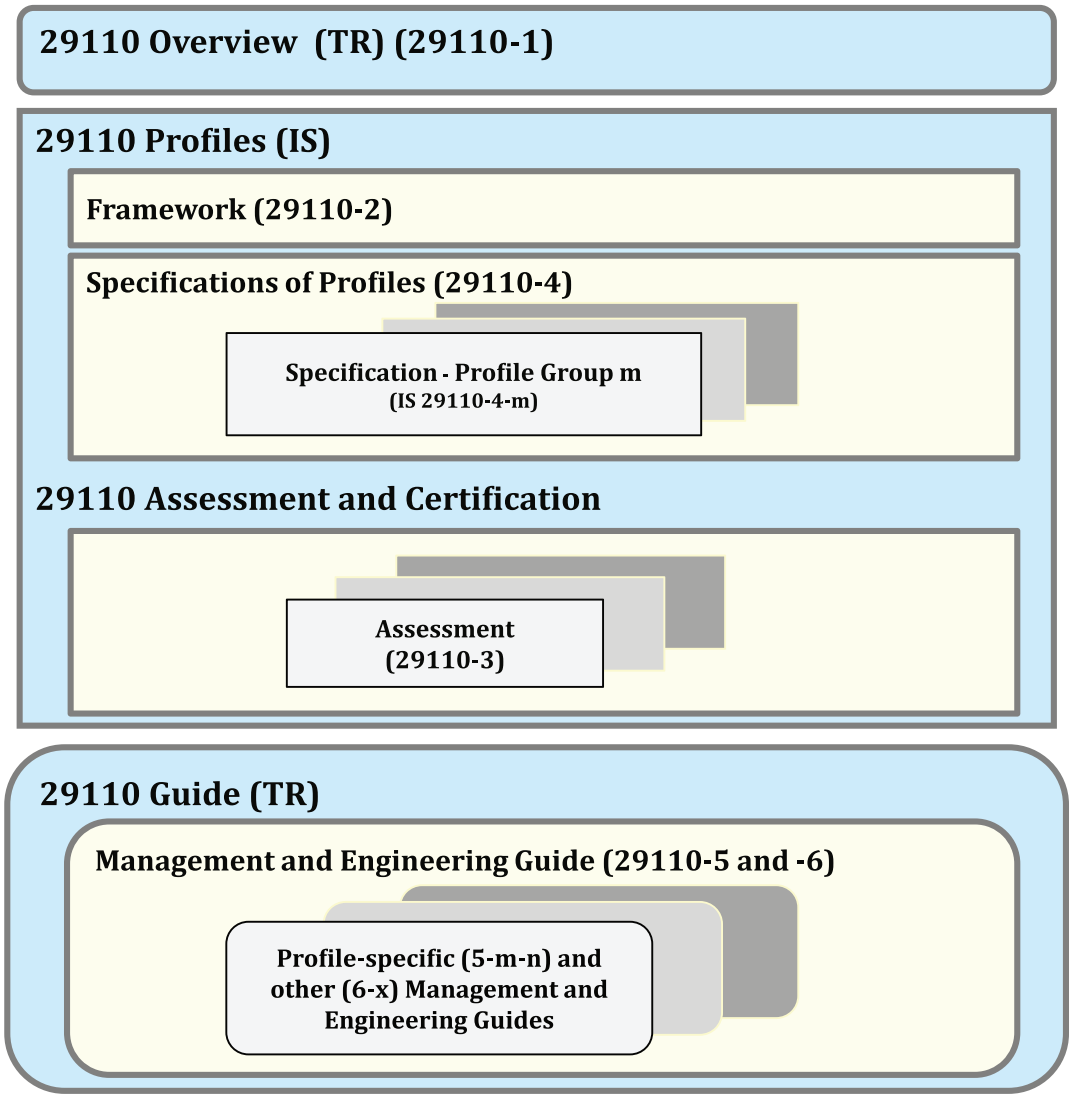


Figure 1 — ISO/IEC 29110 series

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Systems and software engineering — Lifecycle profiles for Very Small Entities (VSEs) —

Part 5-1-3:
Software engineering — Management and engineering guide: Generic profile group — Intermediate profile

1 Scope

This document provides management and engineering guide to the intermediate profile described in terms of business management, project management, software implementation and acquisition processes.

This document is applicable to Very Small Entities (VSEs). VSEs are enterprises, organizations, departments or projects having up to 25 people. The life cycle processes described in the ISO/IEC 29110 series are not intended to preclude or discourage their use by organizations bigger than VSEs.

ISO/IEC 29110–4-1 identifies the requirements applicable to the tasks and work products described in this document.

This document has been developed using the management and engineering guide of the Basic profile and by modifying and adding elements (e.g. process, task, work product, role) for VSEs involved in the development of more than one project in parallel with more than one work team.

This document applies for VSEs developing non-critical software.

Using this document, VSEs can obtain the following benefits:

— the management and monitoring of more than one project in parallel with more than one work team;

— reuse existing software components (e.g. code and document) in new projects;

— continuously measure projects and improve processes.

Once the software, developed by a VSE, has been accepted by their customers, the VSE that wants to provide after delivery services can refer to ISO/IEC TR 29110-5-3.[1)]

This document is targeted to VSEs which are familiar with ISO/IEC TR 29110-5-1-2 for their software development projects and are involved in the development of more than one project in parallel with more than one work team.

This document is intended to be used with any lifecycles, processes, techniques and methods that enhance the VSEs customer satisfaction and productivity.

2 Normative references

There are no normative references in this document.

1) To be published.

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3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 29110-2-1 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

— IEC Electropedia: available at http://www.electropedia.org/

— ISO Online browsing platform: available at http://www.iso.org/obp

3.1
agreement
mutual acknowledgement of terms and conditions under which a working relationship is conducted

EXAMPLE Contract, memorandum of agreement.

[SOURCE: ISO/IEC/IEEE 15288:2015, 4.1.4]

3.2
acquirer
stakeholder that acquires or procures a product or service from a supplier

Note 1 to entry: Other terms commonly used for an acquirer are buyer, customer, owner, purchaser or internal/organizational sponsor.

[SOURCE: ISO/IEC/IEEE 15288:2015, 4.1.1]

3.3
conditional process
process that may be mandatory under some specified conditions, may be optional under other specified conditions, and may be out of scope or not applicable under other specified conditions

Note 1 to entry: These are to be observed if the specified conditions apply.

3.4
enabling system
system that supports a system-of-interest during its life cycle stages but does not necessarily contribute directly to its function during operation

EXAMPLE A configuration management system used to control software elements during software development.

Note 1 to entry: Each enabling system has a life cycle of its own. This document is applicable to each enabling system when, in its own right, it is treated as a system-of-interest.

[SOURCE: ISO/IEC/IEEE 15288:2015, 4.1.18]

3.5
security and intellectual property scheme
established and operated management system in the entity to ensure the security and intellectual property of its information items

3.6
system-of-interest
system whose life cycle is under consideration in the context of this document

[SOURCE: ISO/IEC/IEEE 15288:2015, 4.1.48]

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